

Topic 3

Furnishing of samples of the deposited biological material



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Meeting of Member States and International Depositary Authorities under the Budapest Treaty
Geneva, November 13 to 14, 2023



Leibniz-Institut • DSMZ-Deutsche Sammlung von Mikroorganismen und Zellkulturen GmbH

DSMZ - German Collection of Microorganisms and Cell Cultures GmbH

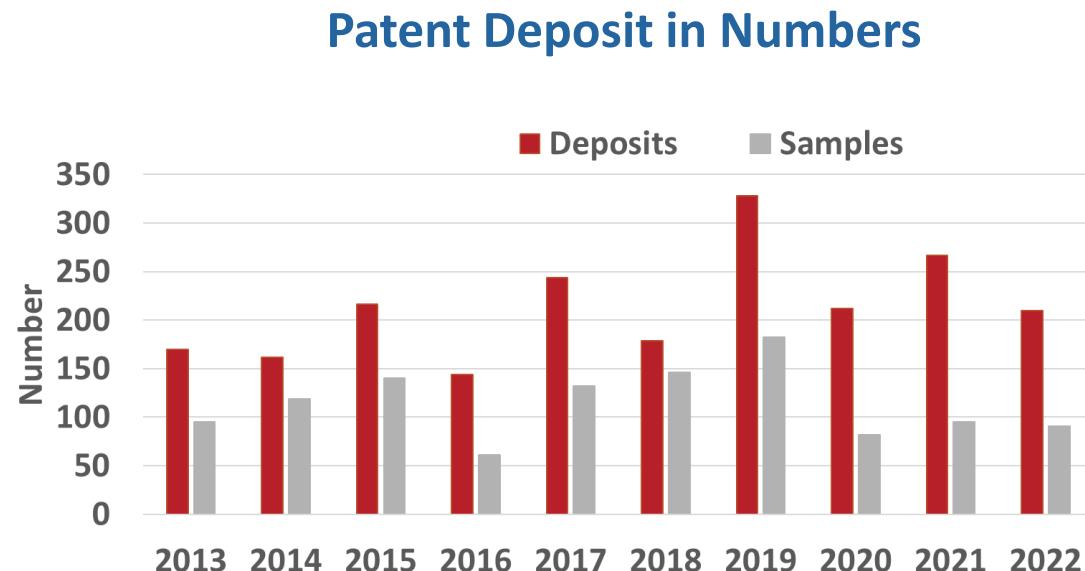
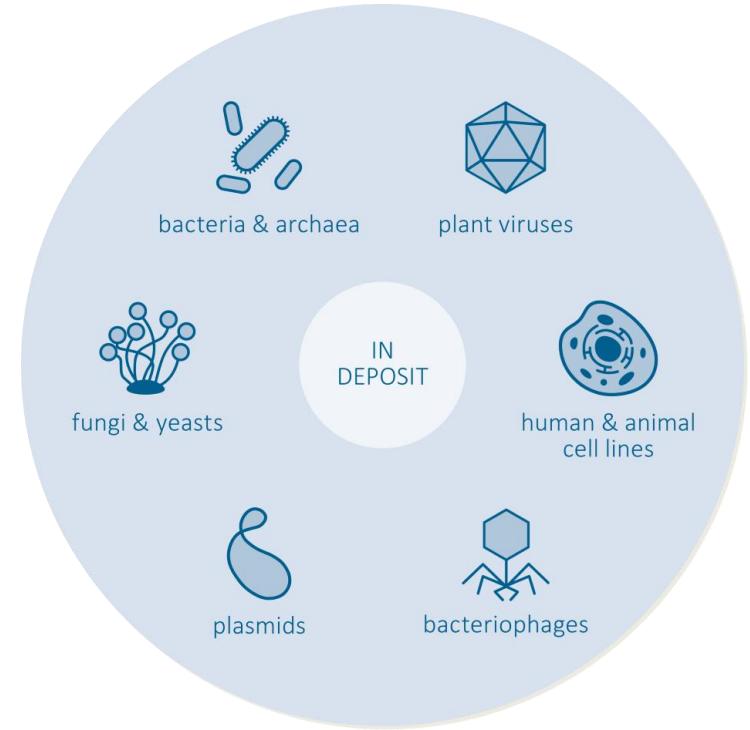


- DSMZ = both
 - **Research infrastructure (active collection)** used by science and industry for non-commercial purposes
 - **Research institution** in the field of microbial biodiversity research
- The most **diverse biological resource center** in the world
- One of the largest **collections of microorganisms and cell cultures** worldwide
- DSMZ was the first "**Registered Collection**" under the EU Regulation 511/2014 for the **Nagoya Protocol**
- The only recognized **international depositary** in Germany under the **Budapest Treaty**

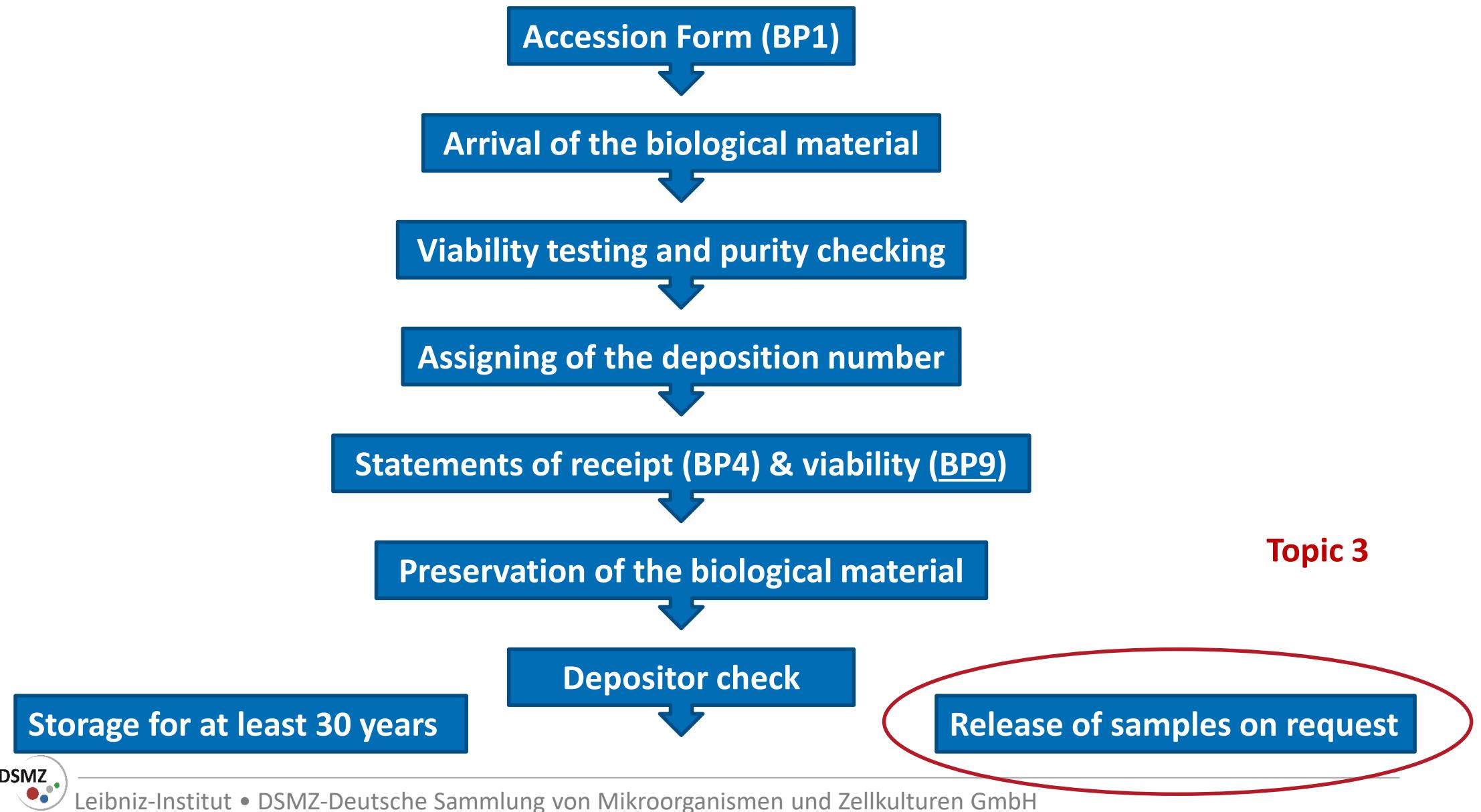


DSMZ as Patent Depository

- > 45 years
- First patent strain in 1972
- Recognized as **International Depository Authority (IDA)** according to the Budapest Treaty **since 1981**
- Total > 9000 patent deposits



Providing Safe Storage & Controlled Access



Furnishing of Samples – When? To Whom?

Budapest Treaty Rule 11

11.1 To Interested industrial property offices

When?

- At **any time** on request to the DSMZ

11.2 To Depositor and with the authorisation of the depositor to third parties

When?

- At **any time** on request to the DSMZ

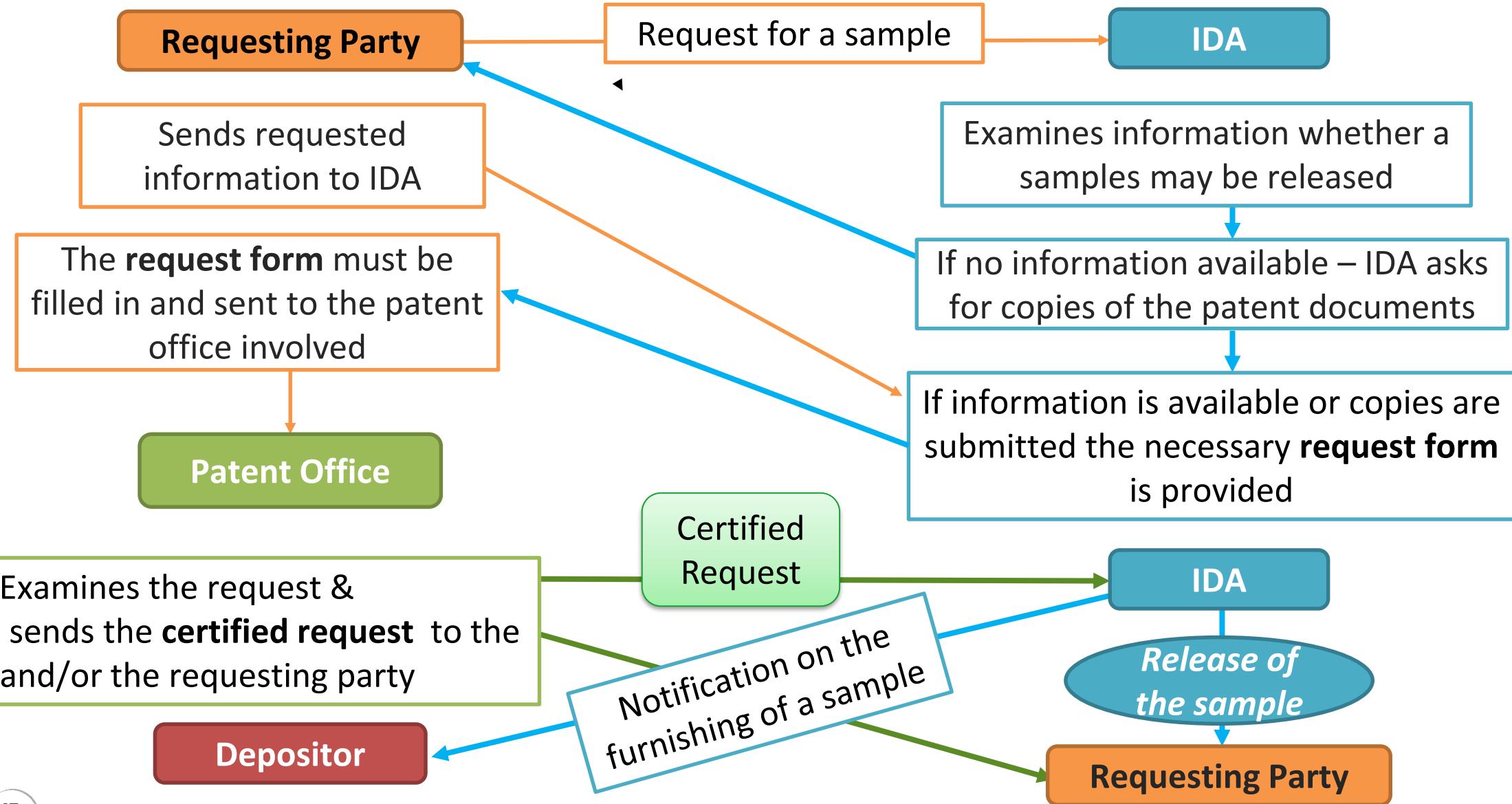
11.3 To Parties legally entitled

When?

- A) By **confirmation** of the request **by** the responsible **patent office**
- B) In respect of **patents granted** and **published** by any industrial property office



Release of Patent Samples to Certified Third Parties



Furnishing of Samples – Process I Check

Certified
Request

Contact
requesting
party for
details

Shipment
Invoicing
(PO, VAT,
contact
details)

Laboratory

Genetic
Modified
Organism
(GMOs)

Biosafety
Level (BSL)

Check

Sanction
list check
(person,
country)

Dual-use
trade
controls

War
Weapons
Control
Act

Import/Export requirements or
quarantine restrictions

Directives
restricting ex-
/import of
epidemics of
animals

Directives
restricting ex-/import
of plant
pathogens

Country specific requirements (e.g.
Toxic Substances Control Act
(TSCA) for USA)



Furnishing of Samples – Process II Material

Certified
Request

Check OK

Material

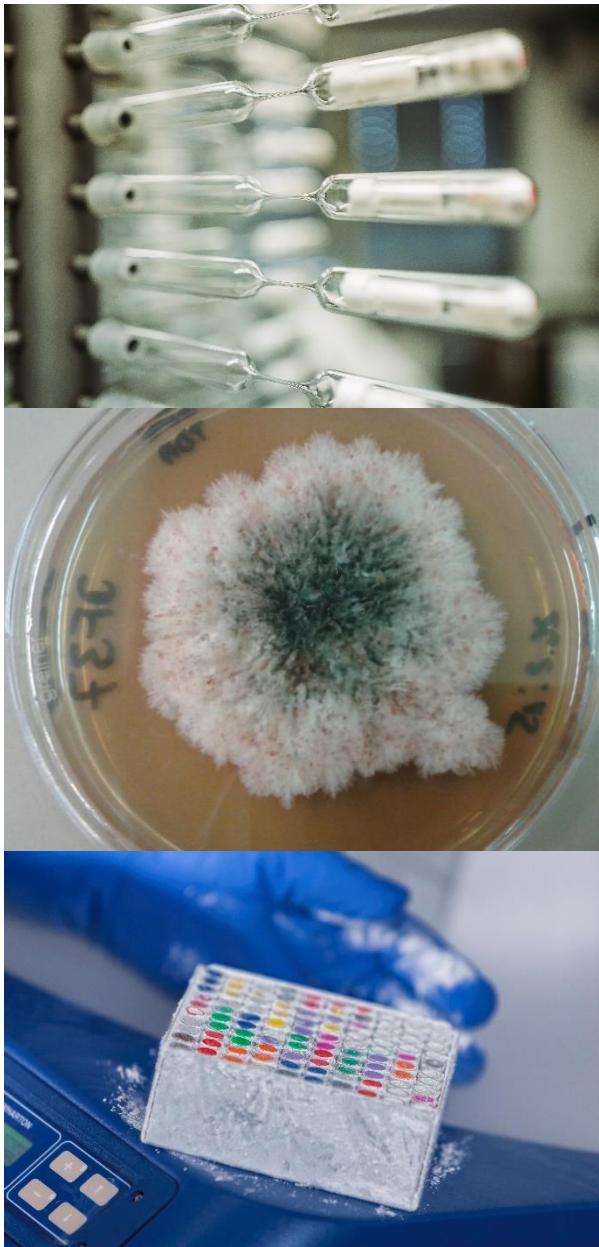
Freeze Dried
(Ampoule) for
Bacteria, Fungi

Check viability at
certain intervals

Active culture (from
liquid nitrogen
storage)

Check availability +
new preservation +
request new
material, if necessary

Frozen Sample (cell
lines)



Furnishing of Samples – Process III Shipment

Certified
Request

Check OK

Material
OK

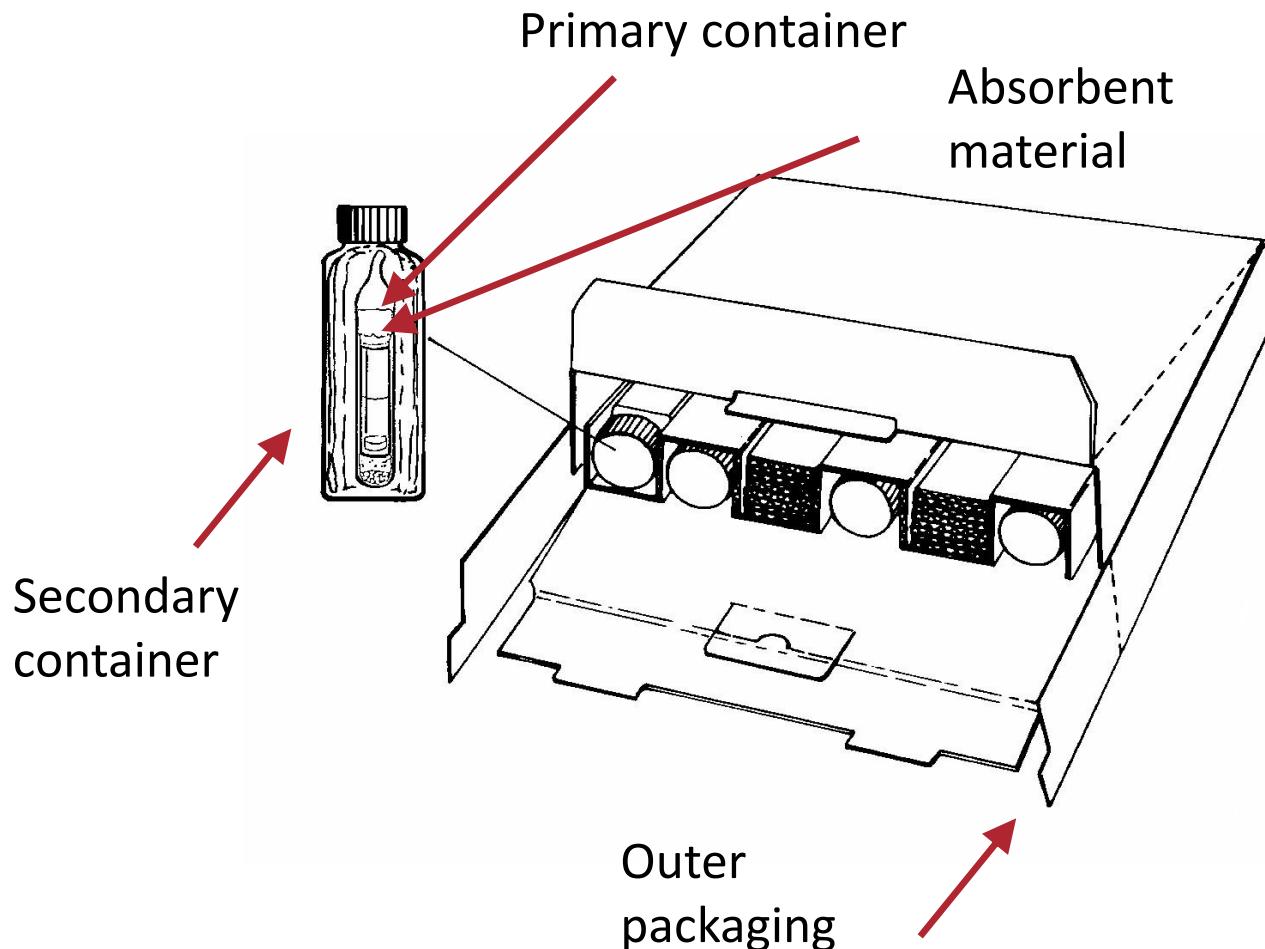
Shipment

At room
temperature

Courier Service
(mandatory for BSL2)

RG2/BSL2 UN3373
Biological Substances,
Category B

Dry ice
(UN1845)



For Discussion

- Communication IPO-IDA (Topic 4)
 - Information whether a strain has been filed for a patent + status of the patent application
 - Furnishing of samples
 - Notification according to rule 11.3b
 - Who informs whom, all POs – all IDAs?
 - After request?
 - Important for Topic 5: Handling after the expiry of the storage period
- Suggestion: Create/use a database (via WIPO?), accessible to all patent offices, IDAs
- Contacting depositor
 - Who has the rights on the deposit (company change, company split)
 - Who can receive samples (11.2)?
 - Who needs to be informed (11.4g)?
- Furnishing after 30 years period (Topic 5)



The DSMZ IDA Team

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