

PATENT COOPERATION TREATY (PCT)

Common Quality Framework for International Search and Preliminary Examination

SUPPLEMENTAL REPORT ON QUALITY MANAGEMENT SYSTEMS

prepared by ROSPATENT

This supplemental report relates to the quality management system established by this Office as set forth in the report under PCT/GL/ISPE section 21.29 issued on October 31, 2010.

As a result most recent internal review under the International Search and Preliminary Examination Guidelines paragraphs 21.25-21.28, we have made modifications to its QMS as discussed below.

The modifications are given with reference to the sections of the revised template for responses to PCT/GL/ISPE Chapter 21.29 to which the changes relate.

The Authority should describe any changes made to its QMS making reference to the specific sections of the previous main report, and/or making reference to any supplemental report(s) under paragraph 21.30 compiled in accordance with this template.

If no changes have been made to its QMS since the last report, the Authority should indicate such.

INTRODUCTION (PARAGRAPHS 21.01 TO 21.03)

Abbreviations:

Rospatent – The Federal Service for Intellectual Property

FIPS – The Federal Institute of Industrial Property under Rospatent

References to the legislation related to quality management system besides Chapter 21 of the Guidelines.

During 2011 discussions relating to the draft bill amending the Part IV of the Civil Code of the Russian Federation were proceeded. The draft bill was published in 2010. These amendments concern improvement of the protection reliability of inventions and regulate the fulfilment of the obligations of the Russian Federation under the international agreements. The amendments are aimed at approximation of the material and procedural provisions with the international standards, in particular with the Standards of the European Union (EU).

In 2011 the work on amending the Administrative Regulation was launched. The amendments are aimed at enhancement of administrative procedures on examination of applications for inventions. The draft document provides for the e-filling of applications for inventions.

1. LEADERSHIP AND POLICY (PARAGRAPHS 21.04 TO 21.09)

21.04 (b), (c)

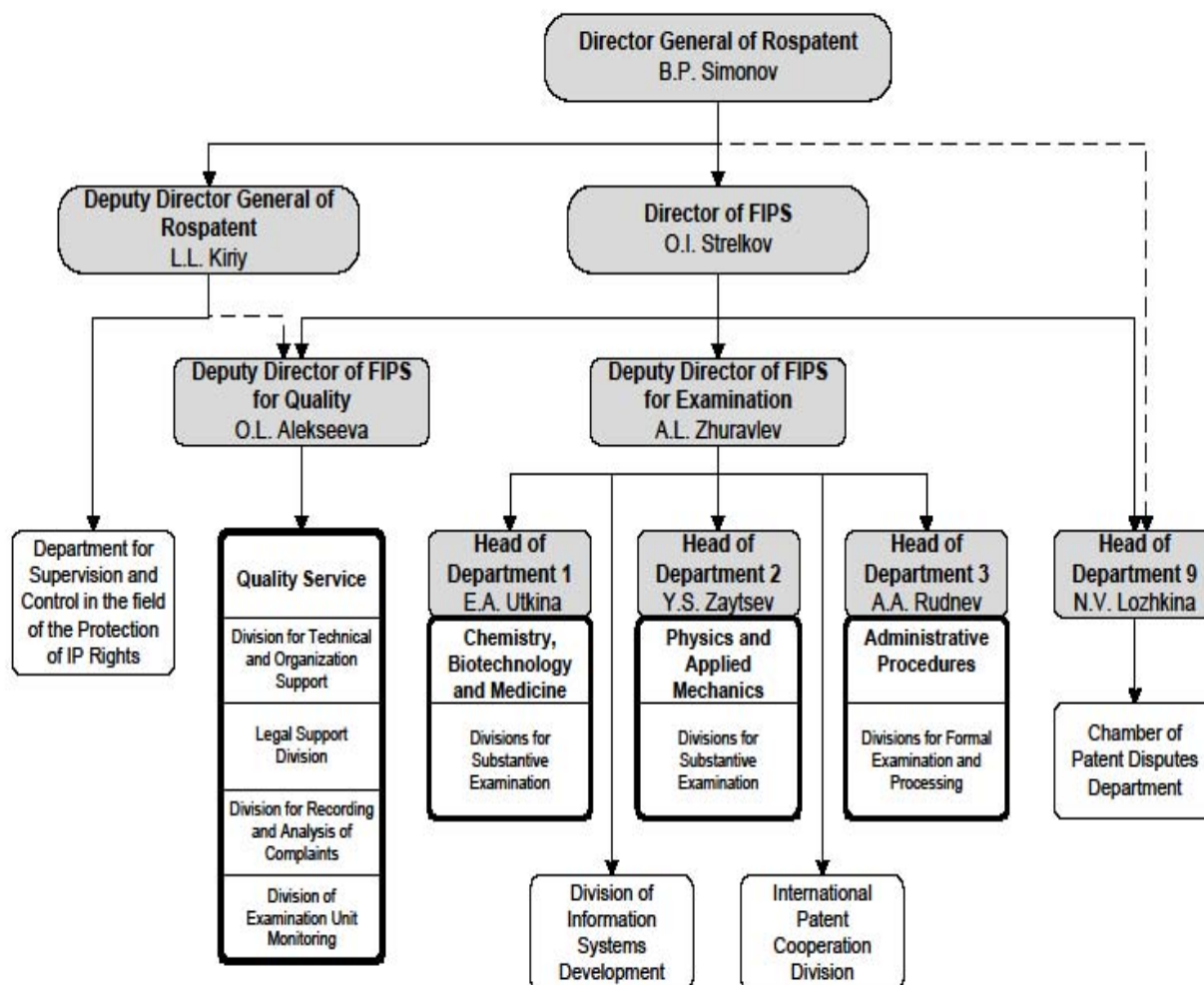
For the purposes of raising the quality management system efficiency, in 2011 the special division for monitoring the activity of examination subdivisions was created. The following issues are subject to its authority:

- monitoring of the quality of the examination documents communicated to an applicant upon processing of an application;
- monitoring of the information search quality;
- assisting permanent, in particular operational, and methodical support to the examination subdivisions;
- development of the preventive measures aimed at examination quality improvement.

Division is a part of the Quality Service mentioned in the Report on Quality Management System submitted to the WIPO in 2010.

In the course of reorganization of FIPS in 2011 the Quality Service was renamed in Department for Monitoring the Quality of Providing the Public Services.

The Department is headed by Mrs.Olga Alekseeva, Deputy Director, FIPS.



2. RESOURCES (PARAGRAPHS 21.10 TO 21.14)

21.11 (a)

For the purposes of Rospatent staff policy development the Section for Analyzing FIPS Human Capacity and Scientific Potential was created in 2011. The Section is responsible for the issues relating to enhancement and efficiency improvement of the academic staff activity. The Section is a part of FIPS and is subordinated to Mr.Oleg Strelkov, Director of FIPS.

21.12

(a) (i)

In 2011 Rospatent acting as the International Searching Authority and International Preliminary Examining Authority changed to e-filing of all the documents relating to the international search and international applications which are communicated from the WIPO by means of the PCT-EDI. The testing communication from Rospatent to the WIPO of the documents relating to the international search and international applications was also launched.

(a) (ii)

Within the framework of bilateral cooperation with the European Patent Office (EPO) Rospatent examiners received the access to the EPO internal search system EPOQUENet from several more terminal servers.

In 2011 the testing access to the ten additional databases was provided, in particular to the MedicinesComplete full-text electronic collections of the Royal Pharmaceutical Society (RPS) of Great Britain, Bentham Sciences collections, electronic resources “Faculty of 1000 (F1000)”, full-text electronic book collections of the World Scientific Publishers and of the Oxford University Press, and etc. The full access was provided to the databases “Kliwer Manual IP” and “Kliwer IP Cases” of the Kluwer Law and Business, and to the SCOPUS abstract database.

(b) (i), (ii)

According to the Order of Rospatent in 2011 the Guidelines for the Examination of Applications for Inventions was adopted. The document was developed for the purposes of provision for the methodical support during the processing of applications. In the course of its developing the EPO Examination Guidelines and the PCT International Search and Preliminary Examination Guidelines were applied to.

21.13

In 2011 the search history recording software was developed and implemented into the information retrieval system PatSearch. Specialists engaged in carrying out information searches and in search quality monitoring participated in respective training related to the issues of using this software.

3. MANAGEMENT OF ADMINISTRATIVE WORKLOAD (PARAGRAPH 21.15)

(a) In 2011 the special automated system for recording the data relating to the international applications submitted for the international search that provides for the control function of the dates for carrying out the international search and preparing written opinion was developed and implemented.

The system was put into operation in October, 2011. In the future it will be improved in respect to the electronic recording of applications on which the request for international preliminary examination have been filed, as well as to monitoring the dates for carrying out thereof.

4. QUALITY ASSURANCE (PARAGRAPH 21.16)

No additional information.

5. COMMUNICATION (PARAGRAPHS 21.17 TO 21.19)

No additional information.

6. DOCUMENTATION (PARAGRAPHS 21.20 TO 21.23)

No additional information.

7. SEARCH PROCESS DOCUMENTATION (PARAGRAPH 21.24)

In 2011 the amended version of the Guidelines for Carrying Out of Information Search came into force. The Guidelines provide for the recommendations on preparing a search request and implementing the concepts (key words) used in this request into a search report. The Guidelines for Carrying out Information Search was incorporated into the Guidelines for Examination of the Applications for Inventions as an independent part.

Subsequent to the implementation of the search history recording software into the information retrieval system PatSearch in 2011 the unified search history form was developed. The form includes in particular the following:

- a text of the request (a combination of the search concepts and operations composing the request);
- a number of the documents cited (according to the results of this request);
- search arrays (information arrays in which the search in respect to this request has been carried out);
- the number of the documents reviewed (in respect to this request).

A search history is used for the purposes of monitoring the quality of this search. The access to search histories will be available for foreign Offices within the framework of the PPH и PCT-PPH Pilot Projects.

8. INTERNAL REVIEW (PARAGRAPHS 21.25 TO 21.28)

No additional information.

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